

Opencash Securities LLC

Business Continuity Plan (BCP) Summary Statement

Opencash Securities LLC (“Opencash” or the “Firm”) maintains a comprehensive Business Continuity Plan (“BCP”) designed to ensure the Firm can respond to and recover from events that significantly disrupt its business operations. This plan is established in accordance with applicable regulatory requirements, including FINRA Rule 4370.

Our Commitment to Business Continuity

Opencash is committed to maintaining operational resilience and safeguarding customer interests in the event of a significant business disruption (“SBD”). While the timing and impact of such events are inherently unpredictable, the Firm’s BCP is designed to facilitate the timely recovery and resumption of critical business functions.

The Firm’s objectives include:

- Protecting the safety of employees and Firm property
 - Preserving and recovering books and records
 - Maintaining critical operational and financial functions
 - Enabling customers to continue accessing their accounts and conducting transactions
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Scope of the Plan

The Opencash BCP addresses key operational areas, including:

- Data backup and recovery of essential systems
 - Mission-critical systems and technology infrastructure
 - Financial and operational assessments
 - Alternative communication channels with customers, employees, and regulators
 - Relocation of personnel to alternate business locations
 - Dependencies on critical vendors, clearing firms, and counterparties
 - Regulatory reporting obligations
 - Customer access to funds and securities
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Clearing Firm Support

Opencash operates on a fully disclosed basis with its clearing firm, *RQD Clearing LLC**, which plays a critical role in maintaining customer account access and transaction processing during a disruption.

In the event Opencash is unable to resume operations promptly, customers may contact the clearing firm directly for assistance with transactions or access to funds and securities. The clearing firm has represented that it maintains its own business continuity capabilities to support ongoing operations.

Response to Business Disruptions

The Firm's response will vary depending on the scope and severity of the disruption:

- **Localized Disruption (Firm or Building Level):**
Operations are expected to resume the same business day through relocation to an alternate local site.
- **Widespread Disruption (City, Region, or Market-Wide):**
Operations may be transferred to an alternate location outside the affected area, with anticipated recovery within one business day.

In all scenarios, Opencash intends to:

- Continue operations to the extent possible
 - Communicate updates via available channels (e.g., website, email)
 - Utilize its clearing firm to support continuity where necessary
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Customer Access and Communication

Customers are encouraged to contact Opencash through its primary communication channels, including its website and support services. If these channels are unavailable due to a disruption, customers may contact the clearing firm directly for urgent assistance.

In the event the Firm determines it cannot continue business operations, customers will be notified promptly, and arrangements will be made to ensure timely access to funds and securities.

Important Considerations

- Temporary delays in processing transactions or accessing accounts may occur during a disruption
- Recovery timelines depend on the nature and severity of the event
- The Firm prioritizes safeguarding customer assets and maintaining regulatory compliance throughout any disruption

Additional Information

For questions regarding Opencash's Business Continuity Plan, customers may contact the Firm using the contact information provided on its website or official communications.